

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

**980055**

Total Pages

First Named Inventor or Application Identifier

**Koji NOZAKI and Ei YANO**

Express Mail Label No.

Check Box, if applicable ☐ Duplicate

**APPLICATION ELEMENTS FOR:**

**POLYMER COMPOUND FOR A CHEMICAL  
AMPLIFICATION RESIST AND A FABRICATION  
PROCESS OF A SEMICONDUCTOR DEVICE  
USING SUCH A CHEMICAL AMPLIFICATION RESIST**

**ADDRESS TO: Assistant Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231**

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [42]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [1]
4. ☒ Oath or Declaration Total Pages [2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).
    - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet and document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

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**PAGE 2 OF 3**

10. ☐ English translation Document (if applicable)

11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired.

15. ☒ Claim for Convention Priority ☒ Certified copy of Priority Document(s)

a. Priority of \_\_\_\_\_ application no. \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 USC 119.  
The certified copies/copy have/has been filed in prior application Serial No. \_\_\_\_\_.  
(For Continuing Applications, if applicable).

16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

| FEE TRANSMITTAL                       | Number Filed | Number Extra | Rate      | Basic Fee |
|---------------------------------------|--------------|--------------|-----------|-----------|
| The filing fee is calculated below    |              |              |           | \$790.00  |
| Total Claims                          | 23 - 20      | 3            | x \$22.00 | 66.00     |
| Independent Claims                    | 4 - 3        | 1            | x \$82.00 | 82.00     |
| Multiple Dependent Claims             |              |              | \$270.00  |           |
| Basic Filing Fee                      |              |              |           | 938.00    |
| Reduction by 1/2 for small entity     |              |              |           |           |
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| TOTAL                                 |              |              |           | \$978.00  |

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**PAGE 3 OF 3**

☒ [XX] A check in the amount of \$978.00 is enclosed to cover the filing fee of \$938.00 and the assignment recordation fee of \$40.00.

☐ [ ] Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

**18. CORRESPONDENCE ADDRESS**

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**SUBMITTED BY**

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Reg. No. **31,541**

Signature *by Ronald T. Naughton*, Reg. No. *26,414* Date: **January 29, 1998**

LNM/yap

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SPECIFICATION

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT WE, Koji Nozaki, a citizen of Japan residing at Kawasaki-shi, Kanagawa, Japan and Ei Yano, a citizen of Japan residing at Kawasaki-shi, Kanagawa, Japan have invented certain new and useful improvements in

POLYMER COMPOUND FOR A CHEMICAL AMPLIFICATION  
RESIST AND A FABIRICATION PROCESS OF A SEMICONDUCTOR  
DEVICE USING SUCH A CHEMICAL AMPLIFICATION RESIST

of which the following is a specification : -

1     TITLE OF THE INVENTION

          POLYMER COMPOUND FOR A CHEMICAL  
AMPLIFICATION RESIST AND A FABRICATION PROCESS OF A  
SEMICONDUCTOR DEVICE USING SUCH A CHEMICAL  
5     AMPLIFICATION RESIST

BACKGROUND OF THE INVENTION

          The present invention generally relates to a  
resist composition that can be developed by a basic  
10    developing liquid and a fabrication process of a  
semiconductor device using such a resist composition.

          With the advancement in the art of device  
miniaturization, recent semiconductor integrated  
circuits designated as LSIs or VLSIs are now patterned  
15    with sub-micron line widths. Further, intensive  
investigations are being made on so-called sub-  
halfmicron devices that use a minimum pattern width of  
smaller than 0.5  $\mu\text{m}$ .

          In order to fabricate such sub-halfmicron  
20    devices, it is essential to reduce the wavelength of  
the optical radiation that is used for exposing a  
pattern on a semiconductor substrate, from a  
conventional, normal ultraviolet wavelength to a far-  
ultraviolet wavelength. Further, investigations are  
25    being made also on the exposure process that uses a  
deep ultraviolet wavelength radiation. Associated  
with such a shift in the wavelength of the optical  
radiation used for photolithography, there is an  
urgent demand for a resist composition that shows a  
30    reduced optical absorption in such a very short  
wavelength band and simultaneously a high dry etching  
resistance and a high sensitivity.

          In recent years, intensive studies have been  
made on the photolithography that uses a novel optical  
35    source of KrF excimer laser. A KrF excimer laser  
produces an optical radiation with a wavelength of 248  
nm, and the technology of the KrF excimer laser

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On the other hand, recent attempts of forming semiconductor devices of still higher integration density such as Gbit DRAMs, have urged an investigation on the photolithography that uses a still shorter wavelength optical radiation of 193 nm

1 wavelength band produced by an ArF excimer laser. In  
the photolithographic process that uses such a very  
short wavelength optical radiation, the problem of  
strong optical absorption by the resist is inevitable  
5 as long as a phenolic polymer resin is used for the  
resist base. Thus, there is an urgent demand for a  
resist that is applicable to such a very short-  
wavelength optical radiation.

While there are various chemical  
10 amplification resists studied for application to an  
exposure process conducted with the optical radiation  
of 193 nm wavelength, most of the conventionally  
studied resists are based on a methacrylic resin that  
has an ester group called polycyclic alicyclic group.  
15 Examples thereof are adamantane, isobornane,  
tricyclodecane, and others. See for example, Nozaki,  
K., et al, Chem. Mater., 1994, 6, 1492-1498, Nakano,  
K., et al, Proc. SPIE, 1994, 2195, 194-204, and Allen,  
R. D., et al, Proc. SPIE, 1994, 2438, 474-485. The  
20 foregoing conventional resists successfully realize  
the necessary dry-etching resistance that is essential  
for a resist, by incorporating alicyclic ester group  
into the base resin.

On the other hand, the foregoing  
25 conventional resists have suffered from the problems,  
associated with the use of the alicyclic group in the  
resin, such as a peeling-off of the resist during the  
developing process or an insufficient dissolving of  
the exposed area to an alkaline developer. In order  
30 to overcome the foregoing problems, there are  
proposals such as incorporation of carboxylic acid  
unit into the base resin or using a diluted developing  
liquid. Further, there are proposals for mixing an  
alcohol such as isopropyl alcohol to the developer.

35 Further, there is a report of a chemical  
amplification resist composition that solves the  
foregoing problems successfully (Nozaki, K., et al.,

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1 the resist film becoming soluble to the basic solution  
 upon a deprotection of the acid-cleavable protective  
 group from the side chain of the monomer, wherein the  
 carboxyl group contains a protective group represented  
 5 by a formula (I),



10 where n is an integer of 1 - 4, R is any of a hydrogen  
 atom, an alkyl group, an alkoxy group, or an  
 alkoxy carbonyl group connected to an arbitrary  
 position excluding a third position that forms an  
 15 ester bonding.

The acid-sensitive polymer used for the base  
 resin of the resist composition of the present  
 invention includes various polymers ranging from  
 various copolymer compounds containing a monomer unit  
 20 that includes therein the foregoing lactone structure  
 and the additional acidic functional group attached to  
 the resist side chain and including the acid-cleavable  
 protective group, to a terpolymer including the  
 foregoing monomer unit and other arbitrary monomer  
 25 units.

It should be noted that the polymer used in  
 the present invention preferably contains at least one  
 monomer unit that contains the foregoing carboxyl  
 group including the protective group. The monomer  
 30 unit may be selected from any of acrylate monomer  
 unit, methacrylate monomer unit, vinylphenol monomer  
 unit, N-substitute maleimide monomer unit, and styrene  
 monomer unit. Alternatively, the monomer unit may be  
 the one that has an ester group including therein a  
 35 monocyclic alicyclic hydrocarbon. Monomer units that  
 includes a structure of adamantyl group or norbornyl  
 in the polycyclic alicyclic hydrocarbon part are most

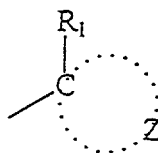
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1 preferable.

Further, it is preferred that the resist composition of the present invention shows an optical absorption, when used to form a resist film on an SiO<sub>2</sub> substrate, of 1.75 or less in the deep-ultraviolet wavelength band of 180 - 300 nm, which is used in the conventional exposure process. When the absorption is increased above the foregoing value, the transmittance of the resist film decreases substantially and the patterning becomes difficult.

In the acid-sensitive copolymer noted above, it is also preferable that a monomer unit, which causes a polymerization with the monomer unit that includes the carboxyl group in which the foregoing lactone protective group is included, includes another carboxyl group having the acid-cleavable protective group. Thus, the acid-sensitive copolymer of the present invention may include a monomer unit having a first carboxyl group that includes the foregoing lactone part as a first protective group and further a monomer unit having a second carboxyl group that includes the foregoing acid-cleavable protective group as a second protective group.

It should be noted that the foregoing monomer unit having the second carboxyl group preferably includes the carboxyl group such that the protective group causes a deprotection in response to the acid released by the photoacid generator, which may be added to a side chain of the same monomer unit, and that the protective group of the carboxyl group has a structure represented by the formula (II),



(II)

There are various forms possible for the second carboxyl group, while the preferable form is represented according to the formula (III) below,

$$\begin{array}{c} \text{O} \\ \parallel \\ \text{---C---O---C---} \\ | \quad | \\ \text{R}_1 \quad \text{Z} \end{array} \quad (\text{III})$$

The preferred resist composition of the present invention is supplied in a form of solution dissolved into a solvent, which is selected from a group of ethyl lactate, methylamylketone, methyl-3-methoxypropionate, ethyl-3-ethoxypropionate, propyleneglycolmethylether acetate and a mixture thereof. The resist solution may further contain butylacetate,  $\gamma$ -butyrolactone, propyleneglycol methylether and a mixture thereof as additional solvent.

30           coating a resist composition of the present  
invention as set forth before on a substrate to form a  
resist film;

35 exposing the resist film to an exposure  
optical radiation that induces a decomposition of the  
photoacid generator in the resist composition; and  
developing the exposed area in a basic  
solution.



1 soluble to the basic solution before the exposure  
process.

It is recommended to set the proportion of the photoacid generator to fall in the range of 0.1 - 50 wt% with respect to the polymer weight, more preferably to 1 - 15 wt%. Further, the weight average molecular weight of the polymer used in the present invention may fall in the range of 2,000 - 1,000,000, more preferably the range of 5,000 - 50,000.

10           The additional solvent to be added to the  
resist solution may not be necessary when the  
solubility of the solutes is good. When the  
solubility of the solutes is poor, on the other hand,  
it is recommended to use such an additional solvent  
15 with a proportion of 1 - 30 wt%, more preferably 10 -  
20 wt%, with respect to the main solvent.

The basic solution used for the developer may include an aqueous solution of a metal hydroxide of group I or II metals such as KOH or an aqueous solution of an organic base not containing a metal ion, such as tetraalkylammonium hydroxide. Particularly, the use of tetramethylammonium hydroxide (TMAH) is preferred. Further, various additives such as a surfactant may be added for improving the efficiency of developing.

Other objects and further features of the present invention will become apparent from the following detailed description when read in conjunction with the attached drawings.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIGS.1A - 1F are diagrams showing the fabrication process of a semiconductor device according to an embodiment of the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT  
[GENERAL]

1           The present invention relates to a chemical  
amplification resist composition that forms a positive  
resist pattern on a substrate upon a developing  
process conducted by a basic aqueous solution.

5           The resist composition of the present  
invention includes:

(a) an acid-sensitive film-forming polymer  
insoluble to a basic aqueous solution and having a  
carboxyl group on a side chain of a monomer unit that  
10 constitutes the film-forming polymer, the carboxyl  
group containing a protective group, the film-forming  
polymer further having an additional acidic functional  
group different from the carboxyl group on a side  
chain of a monomer unit constituting the film-forming  
15 polymer, the additional acidic functional group  
containing an acid-cleavable protective group, which,  
upon deprotection from the side chain, causes a change  
in the film-forming polymer to become soluble to an  
alkaline aqueous solution; and

20 (b) a PAG releasing an acid in response to an  
optical exposure, which acid causing a deprotection of  
the acid-cleavable protective groups, in response to a  
decomposition caused in turn in response to an  
absorption of an exposure optical radiation,

25           wherein the film-forming polymer contains a  
lactone part represented by the formula (I) or (II) as  
the protective group of the carboxyl group.

          The protective groups release protonic acids  
upon the deprotection, and the resist composition  
30 achieves a high sensitivity as a result of the  
chemical amplification thus occurred. After the  
deprotection of the protective groups, the exposed  
area in the resist film becomes soluble to alkalis,  
and it becomes possible to form a positive resist  
35 pattern from the resist film by conducting a  
development process while using a basic solution for  
the developer.

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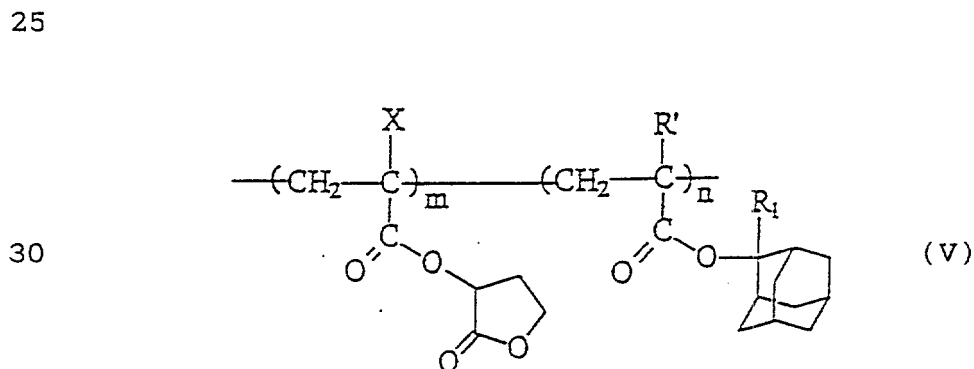


1 present invention can be easily synthesized by a  
commonly used polymerization process. For example,  
the polymer may be formed advantageously by heating  
monomers in the presence of AIBN (2, 2'-  
5 azobisisobutyronitril) which is used commonly for a  
free radial initiator. Further, the acid-sensitive  
polymers other than the foregoing acrylate or  
methacrylate polymer may be prepared according to a  
well established process.

10 In the resist composition of the present  
invention as a base resin, it is preferable to use a  
monomer unit having the structure of the formula (II)  
for the protective group of the acidic functional  
group.

15 While there are various possible forms for  
the protected acidic functional groups, it is  
preferable to use a compound having the formula (III)  
for this purpose.

20 In more detail, the copolymer formed of the  
monomer units that have a carboxyl group containing  
therein a protective group, is represented by a  
formula (V). More specifically, the acid-sensitive  
polymer may be formed of the copolymer represented by  
the formula (V) as

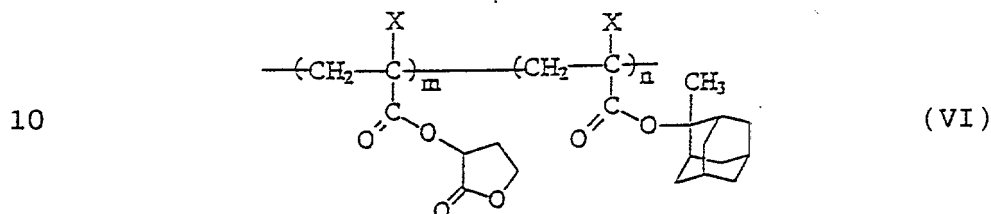


35 wherein  $R_1$ ,  $R'$ ,  $X$ ,  $m$  and  $n$  are already defined, the  
substituent  $R'$  and  $X$  may be identical or different and  
preferably formed of a hydrogen atom or a methyl

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1 group.

In implementing the present invention, it is advantageous to use a  $\gamma$ -butyrolactone-2-yl methacrylate/2-methyl-2-adamantyl methacrylate  
5 copolymer represented by the formula (VI)



15 for the acid-sensitive copolymer, wherein X represent a methyl group or a hydrogen atom and may be the same or may be different from each other.

The resist composition containing such a copolymer or other polycyclic alicyclic ester shows a  
20 high dry etching resistance (RIE resistance). In order to achieve an RIE resistance of the commonly used Novolak resin, it is necessary to set the content of the 2-methyl-2-adamantyl methacrylate, which forms the second monomer unit in the copolymer, to about 50  
25 mol %. As the copolymer does not contain a conjugate double bond or benzene ring in the structure, the copolymer is transparent to the optical radiation of 193 nm wavelength produced by an ArF excimer laser.

In the foregoing copolymer, it is preferable  
30 to set the proportion of the  $\gamma$ -butyrolactone-2-yl methacrylate forming the first monomer unit of the copolymer to 20 - 70 mol%, more preferably to 30 - 60 mol%. When the proportion of the foregoing monomer unit is reduced below about 20 mol%, there appears a  
35 tendency that the resist pattern peels off. When, on the other hand, the proportion of the monomer unit exceeds about 80 mol%, the resin becomes soluble to a

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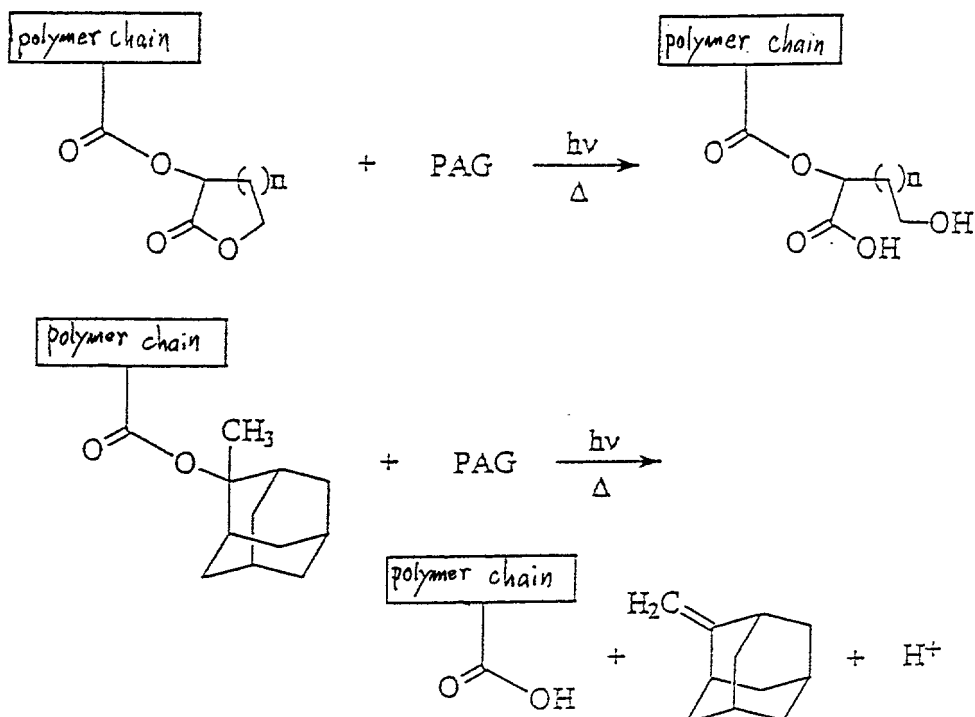
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In the combination as represented by the foregoing copolymer, there is induced deprotection reaction that regenerates the protonic acid as a result of the exposure process, and the resist film shows a very high sensitivity. After the deprotection of the protected group, a carboxylic acid is generated. As the other lactone part is also soluble to alkalis, the exposed part of the resist film changes to be soluble to a basic aqueous solution. Thus, by applying a developing process conducted in a basic aqueous solution, a positive pattern is obtained in which the exposed part is dissolved. As such a developing process employs a change of the polarity occurring in the resist film, the pattern thus formed is substantially free from swelling.

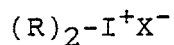
In the chemical amplification resist of the present invention, it should be noted that it is possible to use a conventional PAG used in a chemical

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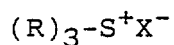
1 amplification resist for radiation sources such as far  
ultraviolet, vacuum ultraviolet or X-rays. While not  
limited, the PAG for use in the present invention  
includes the following:

5

(I) onium salts having a formula



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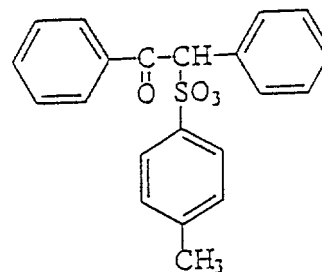
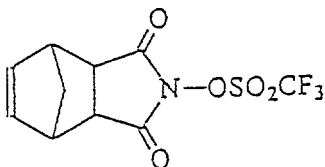


wherein R represents a substitutional or non-  
substitutional benzene ring or alicyclic group, while  
X represents  $BF_4$ ,  $PF_6$ ,  $AsF_6$ ,  $SbF_6$ ,  $CF_3SiI_3$ ,  $ClO_4$ , and  
15 the like.

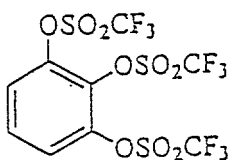
(II) sulfonic acid ester

20

25



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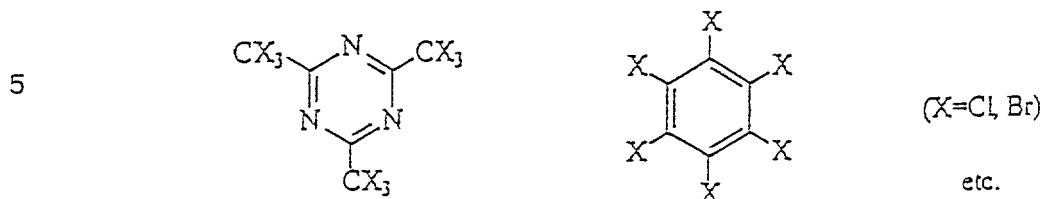


etc.

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1 (III) halides



10 The PAG noted above may be used in the  
resist composition of the present invention with  
various proportions. A proportion of 0.1 - 50 wt%  
(with respect to the polymer weight), more preferably  
1 - 15 wt%, is recommended. In the case of the resist  
15 composition of the present invention, it is preferably  
to adjust the structure of the polymer as well as the  
structure and proportion of the PAG such that the  
resist film shows an absorbance of 1.75 or less.

It should be noted that the resist  
20 composition of the present invention is advantageously  
used in the form of a resist solution in which the  
foregoing acid-sensitive polymer and the PAG are  
dissolved into an organic solvent. The organic  
solvent may be selected, although not limited, from:  
25 ethyl lactate, methylamylketone, methyl-3-  
methoxypropionate, ethyl-3-ethoxypropionate, and  
propyleneglycolmethylether acetate. Further, two or  
more solvents may be mixed. While the proportion of  
the solvent with regard to the polymer is not limited,  
30 it is preferable to optimize the proportion such that  
a suitable viscosity for a successful spin coating  
process is obtained.

The resist solution thus formed may further  
contain an additional, auxiliary solvent. Such an  
35 auxiliary solvent is not necessary when the resist  
film is formed uniformly as a result of the spin  
coating process. On the other hand, when the

1 uniformity of the coated resist film is poor, the  
quality of the resist film can be improved by adding  
such an auxiliary solvent to the foregoing primary  
solvent generally within the proportion of 1 - 30 wt%,  
5 more preferably 10 - 20 wt%. For the auxiliary  
solvent, a solvent selected from butyl acetate,  
 $\gamma$ (gamma)-butyrolactone, propyleneglycol methylether,  
and the like may be used.

The present invention further provides a  
10 process of forming a positive resist pattern on a  
substrate by using the foregoing resist composition.  
The formation of the resist pattern can be achieved as  
follows.

First, a resist film is formed using the  
15 resist composition of the present invention on a  
substrate. The substrate may be a substrate used  
commonly in the fabrication of semiconductor devices,  
or the like, and includes a Si substrate, a glass  
substrate, a non-magnetic ceramic substrate, and the  
20 like. The substrate may carry thereon various  
additional layers such as a  $\text{SiO}_2$  film, metal  
interconnection layer, interlayer insulation film,  
magnetic layer, and the like. Further, the substrate  
may carry thereon various interconnection patterns and  
25 circuit patterns. Further, the substrate may be the  
one that has been subjected to a hydrophobic  
processing for improving the adhesion of the resist  
film. Such a hydrophobic processing may be conducted  
according to the well established process that uses  
30 1,1,1,3,3,3-hexamethyl disilazane (HMDS).

The application of the resist composition is  
achieved by merely applying the resist solution  
directly on the substrate according to a commonly  
practiced process such as a spin-coating process, a  
35 rolling process, a dipping process, and the like.  
Among others, the spin-coating process is most  
convenient, and the resist film may be formed with a





1 proceeds sufficiently. For example, the PEB process  
may be conducted at the temperature of 60 - 180°C for  
30 - 120 seconds. The condition of the PEB process  
5 may be adjusted depending on the desired pattern size,  
pattern profile, and the like.

After the PEB process, the resist film is  
developed in a basic aqueous solution. The basic  
aqueous solution may be applied to the resist film as  
a developer by using a developing apparatus, which may  
10 be any of a spin developer, a dip developer or a spray  
developer. The developer may be any of an aqueous  
solution of a Group I or Group II metal element or an  
aqueous solution of an organic salt free from a metal  
ion such as tetraalkylammonium hydroxide, wherein it  
15 is particularly preferable to use an aqueous solution  
of tetramethylammonium hydroxide (TMAH) that does not  
contain a metal element. In order to improve the  
efficiency of developing, it is also possible to add  
various additives to the developer such as a  
20 surfactant.

As a result of such a developing process,  
the exposed area of the resist is dissolved, and the  
unexposed area of the resist remains as a resist  
pattern.

25 Hereinafter, various embodiments of the  
present invention will be described with regard to the  
synthesis of the acid-sensitive polymer, preparation  
of the resist composition and formation of the resist  
pattern. The embodiments below, however, are only  
30 examples and the present invention is by no means  
limited to these specific embodiments.

#### [FIRST EMBODIMENT]

#### SYNTHESIS OF $\tau$ -BUTYLOLACTONE-2-YL METHACRYLATE

35 A three-neck flask of 200 ml size is dried  
thoroughly and filled with N<sub>2</sub>, after connecting  
thereto a dropping funnel, a calcium chloride tube and

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1 a N<sub>2</sub> supply tube at respective necks. Next, 50 ml of  
dry methylene chloride, 5.0g (48.9 mmol) of 2-hydroxy-  
τ(gamma)-butyrolactone, and 5.45g (53.9 mmol) of dry  
triethylamine are introduced into the three-neck flask  
5 and stirred in an N<sub>2</sub> atmosphere at 0°C by using a  
teflon-coated stirrer bar.

Next, 5.11g (48.9 mmol) of methacryloyl  
chloride previously held in the dropping funnel is  
introduced by a dropping process conducted for 1 hour,  
10 and the liquid thus obtained is stirred at a room  
temperature for 2 hours. The resultant solution is  
then taken into a separating funnel of a 300 ml size,  
and the solution thus taken is washed with 100 ml of  
water, followed by washing with a saturated sodium  
15 chloride water. Thereby, the water layer is extracted  
three times with methylene chloride, and the extracts  
are added to the organic layer. The organic layer  
thus collected is then dried over anhydrous sodium  
sulfate, and filtered through a filter paper. As a  
20 result of the filtering, a brownish oil is obtained,  
and the brownish oil is purified by a silica gel  
column chromatography. As a result of the  
purification, a colorless transparent oil is obtained  
as a target product.

25 yield 7.25g (78.1%)  
<sup>1</sup>H NMR(CDCl<sub>3</sub>, d, J in Hertz): 1.98(3H,s), 2.35(1H,m),  
2.76(1H,m), 4.35(1H,m), 4.51(1H,m), 5.43(1H,t,J=6.5),  
5.70(1H,s), 6.22(1H,s), wherein s in the bracket  
30 represents singlet, d represents doublet and m  
represents multiple.

[SECOND EMBODIMENT]

SYNTHESIS OF A COPOLYMER OF τ-BUTYROLACTONE-2-YL  
35 METHACRYLATE AND 2-METHYL-2-ADAMANTYL METHACRYLATE

A flask of 100 ml size is used to hold 3g  
(17.6 mmol) of τ(gamma)-butyrolactone-2-yl

1 methacrylate, 3.51g (14.4 mmol) of 2-methyl-2-  
adamantyl methacrylate, 788mg (4.8mmol) of AIBM (15  
mol%) and 10.7 ml of dioxane, together with a magnetic  
5 stirrer bar, and the mixture in the flask is stirred  
by using the magnetic stirrer bar in a dry N<sub>2</sub>  
environment at a temperature of 70°C for 8 hours. A  
resultant viscous fluid is added dropwise in 800 ml of  
methanol, to form a precipitate.

Next, the precipitate is filtered by using a  
10 glass filter and the resin thus filtered is dried in a  
vacuum oven at 45°C for 6 hours. The resin thus  
obtained is then dissolved into THF. After repeating  
the precipitation purification twice by using  
methanol, the resin is dried in the vacuum oven at  
15 45°C for 18 hours. As a result, a whitish resin powder  
is obtained with a yield of 5.35g (82.2%).

By conducting a <sup>1</sup>HNMR analysis, it was  
confirmed that the resin contains lactone and  
adamantyl with a ratio of 51: 49.

20 Mw:14,900, Mw/Mn=1.83 (in terms of standard  
polystyrene)

The result of an IR analysis was as follows.

IR(KRS-5, cm<sup>-1</sup>): 2913, 1791, 1737, 1261,  
1147, 1103

25

[THIRD EMBODIMENT]

#### RESIST PATTERN FORMATION

The copolymer synthesized in the second  
embodiment is dissolved into PGMEA (propyleneglycol  
30 methylether acetate) to form a 15 wt% solution. The  
solution is further added with 8 wt%  $\gamma$ -  
butyrolactone as the auxiliary solvent. The solution  
thus obtained is further added with 2 wt% of  
triphenylsulfonium trifluorosulfonate for complete  
35 dissolution.

The resist solution thus obtained is then  
filtered by a teflon membrane filter of 0.2  $\mu$ m size

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1 and spinn onto a Si substrate processed by HMDS, to  
form a resist film. The resist film thus formed is  
subjected to a prebaking process at 120°C for 60  
seconds, and a resist film having a thickness of 0.7  
5  $\mu\text{m}$ .

The resist film thus obtained is then  
subjected to an exposure process, in which the  
exposure process is conducted by a KrF excimer laser  
stepper having a numerical aperture of 0.45.

10 After the exposure, the resist film is  
subjected to a PEB process at a temperature of 110°C  
for 60 seconds, and a resist pattern is developed by  
applying a 2.38% TMAH (tetramethyl ammonium  
hydroxide) developer. After the development, the  
15 resist pattern was rinsed by a deionized water.

It was confirmed that, as a result of the  
exposure conducted with a dose of 30.0 mJ/cm<sup>2</sup>, a line-  
and-space pattern of 0.25  $\mu\text{m}$  pitch is successfully  
resolved.

20

[FOURTH EMBODIMENT]

#### RESIST PATTERN FORMATION

The resist solution of the third embodiment  
is applied on a hydrophobic Si substrate surface  
25 processed by HMDS, similarly to the case of the third  
embodiment, to form a resist film with a thickness of  
0.4  $\mu\text{m}$ . The resist film is then subjected to an  
exposure process conducted by using an ArF excimer  
laser stepper having a numerical aperture (NA) of  
30 0.55. After the exposure, the resist film is  
subjected to a PEB process conducted at 100°C for 60  
seconds, followed by a developing process using a  
2.38% TMAH developer, to form a resist pattern. The  
resist pattern thus formed is rinsed by a deionized  
35 water.

According to the experiment of the present  
embodiment, it was confirmed that a line-and-space

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1 pattern of 0.20  $\mu\text{m}$  pitch is successfully resolved when  
an exposure dose of 26  $\text{mJ}/\text{cm}^2$  is used.

[FIFTH EMBODIMENT]

5 FORMATION OF A RESIST PATTERN

The copolymer synthesized in the foregoing  
second embodiment is dissolved to form a 15wt% PGMEA  
solution, and a resist solution is formed by adding 2  
wt% of diphenyliodonium trifluoromethanesulfonate with  
10 respect to the resin, to the foregoing PGMEA solution.  
The resist solution thus obtained is applied on a  
surface of a Si substrate processed by HMDS by a spin  
coating process. After a prebaking process conducted  
at 120°C for 60 seconds, a resist film having a  
15 thickness of 0.4  $\mu\text{m}$  is obtained.

The resist film is then subjected to an  
exposure process in an ArF exposure system, followed  
by a PEB process conducted at 100°C for 60 seconds.  
The resist film thus exposed is then developed by a  
20 2.38% TMAH developer, and rinsed by a deionized water.

According to the present embodiment, it was  
confirmed that a line-and-space pattern of 0.20  $\mu\text{m}$   
pitch is successfully resolved when an exposure dose  
of 20  $\text{mJ}/\text{cm}^2$  is used.

25

[SIXTH EMBODIMENT]

SYNTHESIS OF A COPOLYMER OF  $\tau$ (gamma)-BUTYLOLACTONE-2-  
YL METHACRYLATE AND ISOBORNYL METHACRYLATE

A flask of 100 ml size is used to hold 5.0g  
30 (29.4 mmol) of  $\tau$ -butyrolactone-2-yl methacrylate,  
6.54g (29.4 mmol) of isobornyl methacrylate, 19.6 ml  
of dioxane and 1.44g (8.8 mmol) of  
azobisisobutyronitrile (AIBN), together with a teflon-  
cated stirrer bar, and the mixture in the flask is  
35 stirred by using the stirrer bar in an  $\text{N}_2$  environment  
at a temperature of 70°C for 8 hours. A resultant  
fluid is diluted by THF and added dropwise into 1 l of

1 methanol containing a small amount of hydroquinone, to  
form a precipitate.

Next, the precipitate thus formed is  
filtered by using a glass filter and dried under a 0.1  
5 mmHg pressure at 45°C for 16 hours. The resin thus  
obtained is then dissolved again into THF, and the  
foregoing filtering and drying process are repeated  
twice. As a result of the foregoing process, a  
whitish resin power is obtained.

10 By conducting a <sup>1</sup>HNMR analysis, it was  
confirmed that the resin contains lactone and  
isobornyl with a ratio of 49: 51. The copolymer thus  
obtained shows a transmittance of 96% at 248 nm and a  
transmittance of 65% at 193 nm, provided that the  
15 polymer film is formed on an SiO<sub>2</sub> substrate with a  
thickness of 1 μm.

yield 8.61g (80%)  
Mw:15,400 (in terms of standard polystyrene)  
20 dispersion 1.82  
IR(KRS-5, cm<sup>-1</sup>): 2961, 1792, 1736, 1250,  
1163, 1102

[SEVENTH EMBODIMENT]

25 FORMATION OF RESIST PATTERN

The copolymer synthesized in the previous  
sixth embodiment is dissolved to form a 145 wt% PGMEA  
solution. Further, a resist is formed by adding 2 wt%  
of triphenylsulfonium trifluoromethanesulfonate with  
30 respect to the resin, to the foregoing PGMEA solution.  
The solution further contains 6 wt% of τ(gamma)-  
butylolactone as an auxiliary solvent.

The resist solution thus obtained is then  
applied on a surface of a Si substrate processed by  
35 HMDS and subjected to a prebaking process conducted at  
120°C for 60 seconds. Thereby, a resist film having a  
thickness of 0.4 μm is formed.

By using the resist pattern 5 thus formed as  
35 a mask, the WSi layer 4 and the polysilicon layer 5  
underneath are etched successively to form a gate  
electrode.



1           Next, an ion implantation process is  
conducted while using the gate electrode as a mask, to  
form a  $N^-$ -type diffusion region 6 in the substrate 1.

          Next, in the step of FIG.1C, the resist  
5   pattern is removed and an  $SiO_2$  film 7 is formed on the  
entire surface of the structure of FIG.1B by a CVD  
process.

          The  $SiO_2$  film 7 is then subjected to an  
anisotropic etching process acting generally  
10   perpendicularly to the principal surface of the  
substrate 1 in the step of FIG.1D, to form a side wall  
insulation film 8. Further, an ion implantation  
process is conducted while using the gate electrode  
and the side wall insulation film as a mask, to form  
15    $N^+$ -type diffusion region 9 in the substrate 1.

          The  $N^+$ -type diffusion region 9 thus formed  
is then activated in the step of FIG.1E by conducting  
a thermal annealing process in an  $N_2$  atmosphere,  
followed by a thermal oxidation process to form an  
20   oxide film 10 on the exposed surface of the diffusion  
region 9.

          Further, in the step of FIG.1F, an  
interlayer insulation film 11 is deposited on the  
structure of FIG.1E and a resist film of the present  
25   invention is applied on the interlayer insulation film  
11 thus formed.

          After a prebaking process of the resist  
film, an exposure process for exposing a contact hole  
corresponding to the gate electrode is conducted by  
30   using an ArF excimer laser exposure system. After a  
PEB process, the resist film is subjected to a  
developing process conducted by using an alkaline  
developing liquid, and a minute contact hole having a  
diameter of  $0.20\ \mu m$  is successfully obtained.

35   Further, the resist pattern is removed, and an Al  
wiring pattern 12 is provided on the interlayer  
insulation film 11 in contact with the gate electrode

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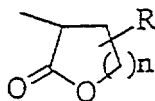
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a carboxyl group bonding to a side chain of said polymer main chain, said carboxyl group having a protective group; and

15

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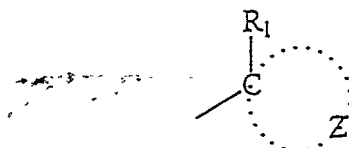
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2. An acid-sensitive polymer compound as claimed in claim 1, wherein said lactone part is formed of 2-hydroxy- $\gamma$ (gamma)-butyrolactone.

35

1           3. An acid-sensitive polymer compound as  
          claimed in claim 1, wherein said acid-sensitive  
          polymer includes a monomer unit selected from a group  
          consisting of acrylate and methacrylate monomer unit,  
5           a vinylphenol monomer unit and an N-substituted  
          maleimide monomer unit.

10  
          4. An acid-sensitive polymer compound as  
          claimed in claim 1, wherein said additional acidic  
          functional group includes an additional carboxyl group  
          having an acid-cleavable protective group, said acid-  
15          cleavable protective group having a formula of



20  
          wherein R<sub>1</sub> represents an alkyl group having a straight  
          chain or a branched chain including 1 - 4 carbon  
          atoms, said alkyl group being any of a substituted  
          group and an unsubstituted group, and wherein Z<sub>1</sub>  
25          represents a plurality of atoms necessary to complete  
          an alicyclic hydrocarbon group together with the  
          carbon atoms connected to R<sub>1</sub>.

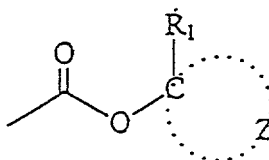
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          5. An acid-sensitive polymer compound as  
          claimed in claim 1, wherein said additional functional  
          group includes a monomer unit having an ester group,  
35          said ester group including a polycyclic alicyclic  
          hydrocarbon part that causes a deprotection in  
          response to an acid produced by a photoacid generator.

1           6. An acid-sensitive polymer compound as  
 claimed in claim 5, wherein said polycyclic alicyclic  
 hydrocarbon part includes an adamantyl group or a  
 norbornyl group.

5

10           7. An acid-sensitive polymer compound as  
 claimed in claim 4, wherein said additional carboxyl  
 group having a formula of:



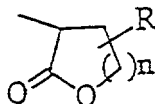
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wherein R<sub>1</sub> represents an alkyl group having a straight  
 chain or a branched chain including 1 - 4 carbon  
 atoms, said alkyl group being any of a substituted  
 group and an unsubstituted group, and wherein Z<sub>1</sub>  
 20 represents a plurality of atoms necessary to complete  
 an alicyclic hydrocarbon group together with the  
 carbon atoms connected to R<sub>1</sub>.

25

8. A resist composition, comprising:  
 an acid-sensitive film-forming polymer  
 insoluble to an alkaline solution; a carboxyl group  
 30 bonding to a side chain of said polymer's main chain,  
 said carboxyl group having a protective group; and an  
 additional acidic functional group bonding to a side  
 chain of said polymer main chain, said acidic  
 functional group having an acid-cleavable protective  
 35 group; said carboxyl group having, as said protective  
 group, a lactone structure represented by a formula

1



5

wherein n is an integer of 1 - 4, and R represents any of a hydrogen atom, an alkyl group, an alkoxy group or an alkoxy carbonyl group and bonding to an arbitrary position of said lactone structure excluding a second position forming an ester bonding; and

10

a photoacid generator causing a decomposition in response to an absorption of a radiation, said photoacid generator releasing an acid that causes a deprotection of said acid-cleavable protective group in response to said decomposition;

15

said resist composition becoming soluble to said alkaline solution after said acid-cleavable protective group has caused said deprotection.

20

9. A resist composition as claimed in claim 8, wherein said lactone part is formed of 2-hydroxy- $\gamma$ (gamma)-butyrolactone.

25

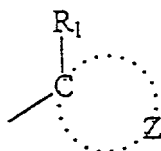
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10. A resist composition as claimed in claim 8, wherein said acid-sensitive polymer includes a monomer unit selected from a group consisting of acrylate and methacrylate monomer unit, a vinylphenol monomer unit and an N-substituted maleimide monomer unit.

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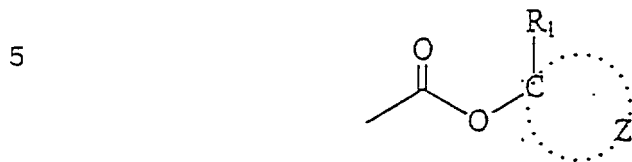
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1           14. A resist composition as claimed in  
claim 11, wherein said additional carboxyl group  
having a formula of:



10           wherein R<sub>1</sub> represents an alkyl group having a straight  
chain or a branched chain including 1 - 4 carbon  
atoms, said alkyl group being any of a substituted  
group and an unsubstituted group, and wherein Z<sub>1</sub>  
represents a plurality of atoms necessary to complete  
an alicyclic hydrocarbon group together with the  
15 carbon atoms connected to R<sub>1</sub>.

20           15. A resist composition as claimed in  
claim 8, wherein said resist composition has an  
absorbance of 1.75 or less when provided on a silicon  
oxide substrate in the form of a resist film.

25

30           16. A resist composition as claimed in  
claim 8, further comprising a solvent selected from a  
group consisting of: ethyl lactate, methylamylketone,  
methyl-3-methoxypropionate, ethyl-3-ethoxypropionate,  
propyleneglycol methylether acetate, and a mixture  
thereof.

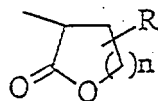
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1           17. A resist composition as claimed in  
claim 16, further including a solvent selected from a  
group consisting of butyl acetate,  $\gamma$ (gamma)-  
butyrolactone and propyleneglycol methylether as an  
5           auxiliary solvent.

10           18. A method of forming a resist pattern,  
comprising the steps of:  
          applying a resist composition on a substrate  
to form a resist film, said resist composition  
comprising:

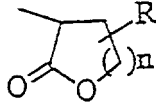
15           an acid-sensitive polymer compound  
insoluble to an alkaline solution, said acid-  
sensitive polymer compound comprising a film-  
forming polymer; a carboxyl group bonding to a  
side chain of said polymer main chain, said  
20           carboxyl group having a protective group; and an  
additional acidic functional group bonding to a  
side chain of said polymer main chain, said  
acidic functional group having an acid-cleavable  
protective group; said carboxyl group having, as  
25           said protective group, a lactone structure  
represented by a formula



30           wherein n is an integer of 1 - 4, and R  
represents any of a hydrogen atom, an alkyl  
group, an alkoxy group and an alkoxy carbonyl  
group and bonding to an arbitrary position of  
35           said lactone structure excluding a second  
position forming an ester bonding; and  
          a photoacid generator causing a



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wherein n is an integer of 1 - 4, and R represents any of a hydrogen atom, an alkyl group, an alkoxy group or an alkoxy carbonyl group and connected to an arbitrary position of said lactone structure excluding a second position forming an ester bonding; and

10

a photoacid generator causing a decomposition in response to an absorption of a radiation, said photoacid generator releasing an acid that causes a deprotection of said acid-cleavable protective group in response to said decomposition;

15

said resist composition becoming soluble to said alkaline solution after said acid-cleavable protective group has caused said deprotection;

20

exposing said resist film to an exposure radiation that induces said decomposition in said photoacid generator;

25

developing said resist film, after said step of exposure, by a basic solution to form a resist pattern; and

etching said substrate while using said resist pattern as a mask.

30

20. A method as claimed in claim 19, wherein said step of forming said resist film includes a step of applying a solution of said resist composition on said substrate with a thickness of 0.1

35

1     - 2  $\mu$ m.

5

21. A method as claimed in claim 19,  
wherein said step of exposing said resist film is  
conducted by a KrF excimer laser.

10

22. A method as claimed in claim 19,  
wherein said step of exposing said resist film is  
15 conducted by an ArF excimer laser.

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23. A method as claimed in claim 19,  
wherein said step of developing is conducted by using  
an alkaline aqueous solution.

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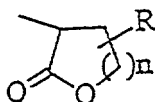
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1     ABSTRACT OF THE DISCLOSURE

          A resist composition includes a polymer  
principal chain, a carboxyl group having a protective  
group and bonding to a side chain of the polymer main  
5     chain, and an additional acidic functional group  
having an acid-cleavable protective group and bonding  
to a side chain of the polymer main chain, wherein the  
carboxyl group has a lactone structure represented by  
a formula

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(wherein n is an integer of 1 - 4, and R represents  
15     any of a hydrogen atom, an alkyl group, an alkoxyl  
group and an alkoxycarbonyl group and connected to an  
arbitrary position of said lactone structure excluding  
a second position forming an ester bonding) as the  
protective group.

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**Declaration For U.S. Patent Application**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
(Insert Title) POLYMER COMPOUND FOR A CHEMICAL AMPLIFICATION RESIST AND A  
FABRICATION PROCESS OF A SEMICONDUCTOR DEVICE USING SUCH A CHEMICAL  
the specification of which is attached hereto unless the following is checked: AMPLIFICATION RESIST

☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International  
Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_  
(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) - (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

|   |                             |                    |                                 |   |
|---|-----------------------------|--------------------|---------------------------------|---|
| (List prior<br>foreign<br>applications.<br>See note A<br>on back of<br>this page) | Pat. Appln.<br>No. 9-165935 | Japan              | 23/June/1997                    | Priority Claimed<br><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
|   | _____<br>(Number)           | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | <input type="checkbox"/> Yes <input type="checkbox"/> No                                |
|   | _____<br>(Number)           | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | <input type="checkbox"/> Yes <input type="checkbox"/> No                                |
|   | _____<br>(Number)           | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | <input type="checkbox"/> Yes <input type="checkbox"/> No                                |
|   | _____<br>(Number)           | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | <input type="checkbox"/> Yes <input type="checkbox"/> No                                |

(See note B on back of this page)

☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

|                               |                        |
|-------------------------------|------------------------|
| _____<br>(Application Number) | _____<br>(Filing Date) |
| _____<br>(Application Number) | _____<br>(Filing Date) |

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of the application:

|                                   |                                      |                        |  |
|-----------------------------------|--------------------------------------|------------------------|--|
| (List Prior U.S.<br>Applications) | _____<br>(Application Serial Number) | _____<br>(Filing Date) | _____<br>(Status) (patented, pending, abandoned) |
|                                   | _____<br>(Application Serial Number) | _____<br>(Filing Date) | _____<br>(Status) (patented, pending, abandoned) |

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

James E. Armstrong, III, Reg. No. 18,366; William F. Westerman, Reg. No. 29,988; Ken-Ichi Hattori, Reg. No. 32,861; Le-Nhung McLeland, Reg. No. 31,541; Ronald F. Naughton, Reg. No. 24,616; John R. Pegan, Reg. No. 18,069; William G. Kratz, Jr., Reg. No. 22,631; Albert Tockman, Reg. No. 19,722; Mel R. Quintos, Reg. No. 31,898; Donald W. Hanson, Reg. No. 27,133; Stephen G. Adrian, Reg. No. 32,878; William L. Brooks, Reg. No. 34,129; John F. Carney, Reg. No. 20,276; Edward F. Welsh, Reg. No. 22,455; Patrick D. Muir, Reg. No. 37,403; Gay A. Spahn, Reg. No. 34,978; and John P. Kong, Reg. No. 40,054.

Please direct all communications to the following address:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) Koji Nozaki

(See note C above) Inventor's Signature Koji Nozaki Date January 5, 1998

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Full name of second inventor (given name, family name) Ei Yano

Inventor's Signature Ei Yano Date January 5, 1998

Residence Kawasaki-shi, Kanagawa, Japan Citizenship Japan

Post Office Address c/o FUJITSU LIMITED, 1-1, Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa, 211 Japan

Full name of third inventor (given name, family name) \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of fourth inventor (given name, family name) \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of fifth inventor (given name, family name) \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of sixth inventor (given name, family name) \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of seventh inventor (given name, family name) \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of eighth inventor (given name, family name) \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

FIG. 1A

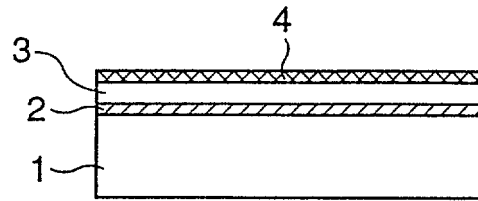


FIG. 1B

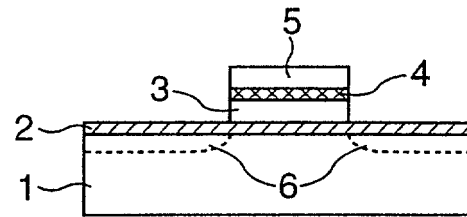


FIG. 1C

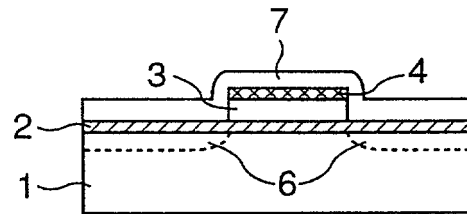


FIG. 1D

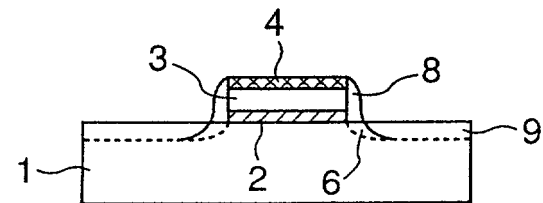


FIG. 1E

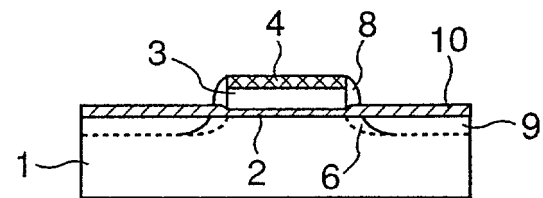


FIG. 1F

